



CLIENT ACCOUNT OPENING APPLICATION FORM (FOR LEGAL PERSONS)

Instructions as to how to Complete the Application Form

1. Before completing this Application Form, you should make sure you have read and understood all information regarding your Client Account, including the Agreement and the Contract Specifications, which are available on our Website www.amanafs.co.uk.
2. For fast processing purposes you may submit the completed and signed **Application Form and Agreement** either: a) scan and send to us by email at support@amanafs.co.uk or nas.velianis@amanafs.co.uk
3. Please note that we cannot accept you as a Client (under Applicable Regulations) and open a Client Account for you, unless all the required documents are properly received by us and all internal checks are duly satisfied.
4. For any questions regarding this Application Form or the Agreement or the Contract Specifications you may contact us at **Corporate** +44 207 248 6494

A. Client Information

Please fill in the fields below as appropriate.

1. Corporate name: ✎

2. Trading name (if any): ✎

3. Form of incorporation

Public company

Private company

Other (please specify)

✎

4. Nature of business/business activities

✎

5. Are you authorized to perform any regulated service/activity?

YES

NO

If your answer is 'yes' please state the authorized service/activity, competent or supervisory authority, license number and country of relevant authorisation.

.....
.....
.....

6. Registration/Incorporation details

Registration/Incorporation number:

Registration/Incorporation country:

.....

.....

Registration/Incorporation date:

Name of registrar/relevant authority:

.....

.....

7. Contact details

7.1 Office address

Full address:

Postal code:

.....

.....

.....

City:

Country:

.....

.....

Telephone:

Website (if any):

.....

.....

Fax:

E-mail (if any):

.....

.....

7.2 Correspondence address (if different):

.....

.....

8. Group Information - Are you part of a Group?

YES

NO

If your answer is 'yes' please state the country of incorporation of the parent company, subsidiary companies, associate companies, financial information and information regarding the Group activities, as applicable. Kindly note that you also may be requested to provide us with the Group's financial information (i.e. consolidated Group accounts).

✎

.....

.....

.....

B. Information Regarding Beneficial Owners and Directors

1. For each Director of the Client please provide us with the following details (for more than one Director kindly request to be provided with additional forms)

Full name:	Permanent address (including post code and country)
✎	✎
Telephone number, Fax number, E-mail (if any):
✎
.....

Politically exposed persons: Please state if you hold or held during the last twelve months any public position(s):	Please state if you have any relationship (e.g. relative, associate) with a person who holds or held during the last twelve months any public position(s):
✎	✎
.....

2. For each Beneficial Owners (for definition see Appendix B) of the Client please provide us with the following details (for more than one Beneficial Owner kindly request to be provided with additional forms)

Full name:	Permanent address (including post code and country)
✂	✂
Telephone number, Fax number, E-mail (if any):
✂
.....

Politically exposed persons: Please state if you hold or held during the last twelve months any public position(s):	Please state if you have any relationship (e.g. relative, associate) with a person who holds or held during the last twelve months any public position(s):
✂	✂
.....

C. Authorised Representative(s)

For more than one Representative person kindly request to be provided with additional forms.

- 1. Title (Mr, Ms): ✂
- 2. Full name: ✂
- 3. Nationality: ✂
- 4. Passport number or ID number: ✂
- 5. Place and date of birth: ✂
- 6. Country of residence: ✂

7. Contact Details:

7.1 Permanent address

Full address:	Postal code:
.....	✂

Client Account Opening Questionnaire

Capacity (e.g. Director, authorized Representative to open the account, authorized Representative to operate the account etc):

City: Country:

Telephone: Mobile telephone:

Fax: E-mail (if any):

7.2 Correspondence address (if different):

8. Professional and educational background

Profession: Name of employer/organisation or own business:

Other occupation(s):

Educational background:

9. Politically exposed

Please state if you hold or held during the last twelve months any public position(s):

Please state if you have any relationship (e.g. relative, associate) with a person who holds or held during the last twelve months any public position(s):

D. Financial Information

1. Please indicate if any of the following applies to you:

Client Account Opening Questionnaire

Balance sheet total of at least EUR 20,000,000

Net turnover of at least EUR 40,000,000

Own funds of at least EUR 2,000,000

2. Financial profile

Total Assets:

Previous Year Profits/Losses:

.....

.....

Liabilities:

.....

3. How do you expect to credit/fund you account?

Bank Transfer

JC commerce

Credit/Debit Card

Cheque Deposits

Please provide us with further details of your expected origin of funds (e.g. name of institution/bank/money transfer company and country of origin):

.....

What is your expected destination of outgoing transfers/payments, if different than the expected origin of funds stated above?

.....

Please provide us with details of the source of funds (e.g. profits from business, loan, income/profits from investments, intellectual property rights etc):

.....

E. Information Regarding the Services and Trading Account

1. Please choose the service(s) envisaged:

CFD trading in
Currency pairs
(FX)

CFD trading
in Precious
Metals

CFD trading
in Futures

CFD trading in
Commodities

CFD trading in
Forwards

2. Please state the anticipated account turnover:

✎

3. Please state the purpose and reason for requesting the envisaged service(s) (e.g. hedging, speculation):

✎

F. Knowledge & Experience

1. In which of the following types of financial instruments do you consider having sufficient knowledge and experience to conclude transactions?

Kindly note that the following are directly addressed to the authorized Representative.

Type of financial instrument	Type of service offered and type/nature of transactions	Time period over which transactions have been undertaken	Volume and frequency of transactions
CFDs	✎	✎	✎
Money Market Instruments	✎	✎	✎
Derivatives	✎	✎	✎

2. Fitness Test - Read the following and tick as applicable (optional):

Kindly note that the Fitness Test should be undertaken by persons/Clients who wish to be treated as Professional, as per the Client Classification information stated in the Client Agreement provided to you. Kindly note that Points 2.1 and 2.3 below are directly addressed to the authorized Representative.

- 2.1 Did you carry out transactions in significant size on a relevant financial market at an average of ten (10) transactions per quarter over the previous four (4) quarters? Yes No

If your answer is 'yes' please specify the relevant financial market, type of financial instrument and the approximate size of transactions.

✎

.....

Client Account Opening Questionnaire

- 2.2 Does the size of your portfolio (cash deposits and financial instruments) exceeds EUR 500.000? Yes No
- 2.3 Do you work or have you worked in the financial sector for a period of at least one (1) year in a professional position, which requires knowledge of the transactions or service(s) envisaged. Yes No

G. Provision of Information

Please choose the preferred method of receiving information from the Company:

*Electronically (Email & Website) Paper

* To be able to receive information electronically kindly note that an email address must be provided in Section A7.1 above. Further, kindly note that some information provided through our website may not be addresses personally to you. Kindly confirm that you accept this by ticking the box

H. Risk Disclosure Statement

1. In order to comply with Applicable Regulations, the Company must provide its prospective Clients with a **Risk Disclosure statement** <http://www.amanafs.co.uk/risk-disclosure> on complex Financial Instruments. *Below is an overall description of the characteristics of CFDs and of the risks associated with them. Further information please refer to the attached **Risk Disclosure and Important Factors**.*

2. Trading in Financial Instruments always involves a risk. As a general rule, you should not therefore trade in Financial Instruments if you do not understand the products and the risks associated with them.

3. A CFD - or Contract for Difference - is speculation in changes in values. This Financial Instrument allows the Client to speculate in future increases or decreases in the value of a specific Underlying Asset, for instance Currency Pairs, equity indices, metals, commodities and forwards. If the Client's speculations prove to be correct, he will make a profit from the difference in value (less costs), but he will have to pay the difference in value (plus costs) if his speculations turn out to be wrong.

4. CFDs are leveraged financial instruments that carry a high degree of risk and as a result it is possible the loss to exceed your invested capital and as a result you may be required to make further payments. Trading in CFDs may not be suitable for all investors. You should ensure before trading you understand the risks involved and consider your level of experience. If necessary you should seek independent advice.

5. CFDs available with the Company are always margin traded, allowing the Client to take a larger position than he would otherwise be able to based on his funds. So, a relatively small movement in the Underlying Market can have a disproportionately dramatic effect on the Client's trade. If the Underlying Market movement is in the Client's favor, the Client may achieve a good profit, but an equally small adverse market movement can not only quickly result in the loss of the Client's entire deposit, but may also expose the Client to a large additional loss. CFD trading therefore involves a relatively high level of risk.

6. CFDs trade within wide intraday ranges with volatile price movements. Therefore, the Client must carefully consider that there is a high risk of losses as well as profits. The price of CFDs is derived from the price of the Underlying Asset in which the CFD refers to. CFDs and related Underlying Markets can be highly volatile. The prices of CFDs and the Underlying Assets may fluctuate rapidly and over wide ranges and may reflect unforeseeable events or changes in conditions, none of which can be controlled by the Client or the Company. Under certain market conditions it may be impossible for a Client's order to be executed at declared prices leading to losses. The prices of CFDs and the Underlying Asset will be influenced by, amongst other things, changing supply and demand relationships, governmental, agricultural,

Client Account Opening Questionnaire

commercial and trade programs and policies, national and international political and economic events and the prevailing psychological characteristics of the relevant market place.

MANDATORY: I declare that I have read and understood this Risk Disclosure Statement above and of the Agreement:

Name:..... Signature:..... Date:.....

Name:..... Signature:..... Date:.....

I. Signature and Consents

MANDATORY:

1. I declare and confirm by my signature below:

- To have read, understood and agreed with the Agreement and the Contract Specifications and their contents.
- That all information disclosed above is complete, true and accurate and I agree to promptly notify the Company of any changes in this information or it ceases to be true and accurate.
- That the documents handed over by me are valid and authentic.
- The amount to invest in the Trading Account and the type of Financial Instrument was chosen by me taking into account my total financial circumstances into consideration and are considered reasonable under these circumstances.
- I am acting as a principal on my own behalf and not as agent or representative or trustee or custodian on behalf of someone else.
- The funds I will use with the Company are not in any direct or indirect way the proceeds of any illegal activity or used or intended to be used for terrorist financing.

Name:..... Signature:..... Date:.....

Name:..... Signature:..... Date:.....

[Company Seal]

J. List of Required Documents

- Certificate of incorporation.
- Recent (up to 12 months) certificate of good standing.
- Certificate of registered office.
- Certificate of directors and secretary.
- Certificate of shareholders.

Client Account Opening Questionnaire

- Memorandum and articles of association.
- Resolution of the Board of Directors of the Client for the opening of the account and granting authority to those who will operate it.
- If the registered shareholder act as nominees of the Beneficial Owner, a copy of the trust deed/agreement concluded between the nominee shareholder and the Beneficial Owner, by virtue of which the registration of the shares on the nominee shareholder's name on behalf of the Beneficial Owner has been agreed.

For each Director of the Client, as per the certificate of Directors, the following documents are required:

- Copy of Passport
- Copy of Driving License, Passport or National Identity.
- Copy of a recent (up to 3 months) utility bill, local authority tax bill or a bank statement.

For each Beneficial Owner of the Client the following documents are required:

- Copy of Passport.
- Copy of Driving License, Passport or National Identity Card.
- Copy of a recent (up to 3 months) utility bill, local authority tax bill or a bank statement.

For Representatives authorized to open and/or operate the Client's account the following documents must also be provided for each Representative person:

- A legalized or apostilled document – authorizing the Representative person (if different than any of the Directors) to act on behalf of the Client.
- Copy of Passport.
- Copy of Driving License, Passport or National Identity Card.
- Copy of a recent (up to 6 months) utility bill, local authority tax bill or a bank statement.

Remarks

1. Certification standards: Copies of documents may be certified as true copies if the Client is from the EEA or an Approved country (see Appendix B below) and Apostilled if the Client is from any other jurisdiction.

Client Account Opening Questionnaire

2. **Certified (True) Copy** means that the person certifying the copy of the document has had sight of the original document at certification and is in a position to certify that the copy is a True and Complete copy of the original document. The Company recognises such certifications when made by independent reputable sources. Such sources must be operating in the EEA or an Approved Country (see Appendix B below) and indicatively include the Client's Bank, the Client's Legal Counsel, a Solicitor/Lawyer or Public Accountant regulated by a professional body (membership number required). The Company requires that the certification process includes the Authenticator stating his name, capacity/position, signature, date and Official Seal on the documents being certified.
3. **Apostilled copies:** Documents should be apostilled in accordance with the provisions of the relevant Hague Convention.
4. Kindly note that the Company may always and at any time revert back to you requesting further information, clarifications and documentation from your behalf with respect to your application for opening an account or the maintenance and continuation of your account following approval.

APPENDIX A

“Beneficial Owner” means the natural person or natural persons, who ultimately owns or control the Client and/or the natural person on whose behalf a transaction or activity is being conducted. The Beneficial Owner shall at least include:

(a) In the case of corporate entities:

- i. the natural person or natural persons, who ultimately own or control a legal entity through direct or indirect ownership or control of a sufficient percentage of the shares or voting rights in that legal entity, including through bearer share holdings, a percentage of 10% plus one share be deemed sufficient to meet this criterion.
- ii. the natural person or natural persons, who otherwise exercise control over the management of a legal entity.

(b) In the case of legal entities, such as foundations and legal arrangements, such as trusts, which administer and distribute funds:

- i. where the future beneficiaries have already been determined, the natural person or natural persons who is the beneficiary of 10% or more of the property of a legal arrangements or entity;
- ii. where the individuals that benefit from the legal arrangement or entity have not yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates.
- iii. the natural person or natural persons who exercise control over 10% or more of the property of a legal arrangement or entity.

APPENDIX B

Third countries which are considered as having equivalent AML/Combating the Finance of Terrorism systems to the EU. The list may be reviewed, in particular in the light of public evaluation reports adopted by the FATF, FSRBs, the IMF or the World Bank according to the revised 2003 FATF Recommendations and Methodology. As of 18th of April 2008 these countries are:

1. Argentina
2. Australia
3. Brazil
4. Canada
5. Dutch overseas territories (Netherlands Antilles and Aruba)*
6. French overseas territories (Mayotte, New Caledonia, French Polynesia, Saint Pierre and Miquelon and Wallis and Futuna)*
7. Hong Kong
8. Japan
9. Mexico
10. New Zealand
11. The Russian Federation
12. Singapore
13. Switzerland
14. South Africa
15. The United States
16. UK Crown Dependencies (Jersey, Guernsey, and Isle of Man) may also be considered as equivalent by Member States.

* Those overseas territories are not members of the EU/EEA but are part of the membership of France and the Kingdom of the Netherlands of the FATF.